

**JAGADGURU SRI SHIVARATHREESWARA UNIVERSITY**

**MYSURU- 570 015, KARNATAKA, INDIA**

**REVISED REGULATION**

**FOR THE AWARD**

**OF THE DEGREE OF DOCTOR OF PHILOSOPHY**

IN ACCORDANCE WITH UGC - MINIMUM STANDARDS AND  
PROCEDURE FOR AWARD OF PH.D DEGREE REGULATION 2016



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## FORMS

- FORM I : Application for admission to Ph.D program  
FORM II : Ph.D Degree Registration Application  
FORM III : Ph.D Synopsis Submission Application Form  
FORM IV : Ph.D Thesis Submission Application Form  
FORM V : Ph.D Evaluation Report  
FORM VI : Ph.D Public Viva Voce Examination Report

## APPENDIX

- I : Composition of Ph.D Panel of Examiners  
II : Statement of Corrections  
III : Attendance List for Public Viva voce with signature

## ANNEXURE

- I : List of University Departments offering PhD  
II : Application for Recognition as Guide /Co-Guide for Ph.D research program  
III : Certificate for Discipline and Interdisciplinary Research  
IV : Title and Cover Page for Ph.D thesis  
V : Declaration by the Candidate  
VI : Certificate by the Guide  
VII : Certificate by the Co-Guide

## REGULATIONS

### **1. PREAMBLE**

The Regulations are made in exercise of the powers conferred by Section 6 of the MoA of the University 2012

### **2. SHORT TITLE AND COMMENCEMENT**

- a) The Regulation shall be called “The Regulations governing the award of the Degree of Doctor of Philosophy (PhD)”.
- b) They shall come into force from the date of assent of the Chancellor.
- c) The Regulations framed are subject to modifications from time to time as decided by the Academic Council of this University

### **3. ELIGIBILITY:**

- a) Candidates who have obtained postgraduate degree in the concerned subject under various faculties of the University or its equivalent with a minimum of 55% of marks (50% in case of SC/ST/Cat-I) in the qualifying examination, are eligible for admission to PhD course. The qualifying degree has to be registered with the respective Councils, if applicable.
- b) Candidates with qualification as per 3(a) above and who shall be involved in full time research activities in the concerned department are eligible to be admitted as “Full Time” candidate.
- c) Candidates with qualification as per 3(a) above and who are working as regular faculty member in the concerned department are eligible to be admitted as “Part Time Internal” candidate.
- d) Candidates with qualification as per 3(a) above and regular faculty members with not less than ten years of teaching experience of which five years shall be at the postgraduate level and having a minimum of three research publications either as a principal author or as a co author in any indexed journal are eligible to admit for the PhD degree without a guide as "Part Time Independent Research” Candidate. However, such candidates shall seek the guidance/assistance of not less than three experts in the concerned field, who shall be members of faculties not below the rank of Associate Professors and possess the qualifications prescribed by the University for being a guide. They need not go through the scrutiny committee.
- e) Candidates with qualification as per 3(a) above and working in a recognized institution/organization with research agreement/MoU with the University are eligible for admission as “Part Time External” candidate.

### **4. DURATION OF RESEARCH**

- a) Full time:

The candidates registered for the PhD Degree full time research shall undergo research work for a minimum period of **three years** and maximum period of **five years** from the date of provisional registration.

b) Part time internal:

The candidates registered for the PhD as part time internal research scholars shall undergo research work for a minimum of **four years** and maximum period of **six years** from the date of provisional registration.

c) Part time independent:

The candidates registered for the PhD as part time independent research scholars shall undergo research work for a minimum of **four years** and maximum period of **six years** from the date of provisional registration.

d) Part time external:

The candidates registered for the PhD as part time external research scholars shall undergo research work for a minimum of **four years** and maximum period of **six years** from the date of provisional registration. These candidates shall undergo research work /examination as may be prescribed by the Guide/Doctoral Advisory Committee for a minimum of nine months during his research duration in the university.

**5. PROVISIONAL REGISTRATION SESSIONS:**

The Provisional Registration for PhD Degree shall be made in four sessions during an academic year as given in the below,

<b>Registration</b>	<b>Notification</b>	<b>Last date for receiving the applications</b>
1 <sup>st</sup> January	1 <sup>st</sup> October	31 <sup>st</sup> October
1 <sup>st</sup> April	1 <sup>st</sup> January	31 <sup>st</sup> January
1 <sup>st</sup> July	1 <sup>st</sup> April	30 <sup>th</sup> April
1 <sup>st</sup> October	1 <sup>st</sup> July	31 <sup>st</sup> July

**6. PROCEDURE FOR ADMISSION AND PROVISIONAL REGISTRATION**

**a. Admission**

- i. The Number of vacancies shall be notified in the University website.
- ii. In response to the notification, the candidates seeking admission shall apply in the prescribed application form (Form I).

- iii. The application form can be downloaded from University (Form I).website [www.jssuni.edu.in](http://www.jssuni.edu.in) and shall be accompanied by prescribed fee along with the relevant certificates.
- iv. Admission will be made through an Entrance Test/Interview conducted at National Level by the University. Candidates who qualify UGC / SLET / GATE / NET / UGC / CSIR (JRF) / teaching fellowship / M.Phil Programme are exempted from Entrance Test/Interview. The interview will assess the candidates and their research interests.
- v. National / State Reservation policy will be applicable while granting admissions whenever required.
- vi. The allocation of guide for the selected students will be decided by the department depending on the number of students per faculty member, available specialization among the guide and the research interests of the candidates.

**b. Provisional Registration:**

- i. Admitted candidate should submit the duly filled application (Form II), obtained from the university along with other details for provisional registration by remitting the prescribed fees for the same. The application form may also be downloaded from the University website [www.jssuni.edu.in](http://www.jssuni.edu.in)
- ii. The cost of the application form is the amount prescribed by this University from time to time as applicable.
- iii. If the application form (Form II), is downloaded from the website, the cost of application form has to be paid at the time of submission for the provisional registration.

**7. RECOGNIZED GUIDES**

**Guide recognition form (Annexure I) – should this form go here**

- I. The list of recognized guides is furnished in the University website at [www.jssuni.edu.in](http://www.jssuni.edu.in)
- II. Further information and details about the recognized guides can be obtained from the university office.
- III. A guide is entitled to have a maximum of 6 (six) registered candidates. Under exceptional circumstances this may be exceeded.
- IV. The recognized guide shall officiate up to the age of 70 years and he/she shall not enroll new candidates after the age of 67 years.
- V. Change of guide shall be considered only under special circumstances with the approval of the Vice Chancellor.

**8. RECOGNISED CO-GUIDE**

Candidates registered under interdisciplinary category, shall have a recognized co-guide. The recognized co-guide should sign the provisional registration application form along with his/her consent and No Objection Certificate (NOC) duly signed by the Dean/Principal of the College/Institution.

- i. The recognized co-guide shall officiate up to the age of 70 years. The co-guide shall not enroll new candidates after the age of 67 years.
- ii. A co-guide is entitled to have a maximum of 6 (six) registered candidates. Under exceptional circumstances this may be exceeded.

In case of detection of any discrepancies, conflict of interest, unethical activities, academic dishonesty etc., it is the prerogative of the University to initiate appropriate administrative and disciplinary actions leading to the cancellation and debarring of the candidate/guide/co-guide at any stage.

## **9. RECOGNISED DEPARTMENTS / INSTITUTIONS**

- a. The list of the recognized branches/departments/institutions of this University for Ph.D., research work is given in **ANNEXURE - II**.

## **10. SPLIT Ph.D., PROGRAMME**

1. The split Ph.D., program is designed to conduct a part of the PhD work in a recognized foreign University/Institution or a recognised Indian University/Institution with which J.S.S. University has signed an academic MoU *-as per UGC norms*.
2. The basic objective of this scheme is to develop good research relationships and future collaborative research projects and to bring the International/National Universities, Research Institutes and Industries closer with the host university through PhD, Programs. While eligibility, admission procedures, duration, functions of Doctoral Advisory Committee, Research Methodology, thesis submission and valuation of thesis will be the same for the general PhD, regulations, the following would be the norms and guidelines for the split PhD, Program;
  - i. The partnering institution should have signed an academic MoU with JSS University.
  - ii. The maximum period of research that can be conducted with the partnering institution shall not exceed ONE YEAR.
  - iii. The expert under whom the candidate proposes to work for the split PhD, program will be suggested by the guide as a co-guide and would also be a member of the Doctoral Advisory Committee.
  - iv. All financial commitments required for carrying out the research work at the partnering institution will be borne by the candidate.
  - v. The degree on successful completion would be awarded by JSS University with a mention of the name of the partnering institution, or by both, as per the MoU.

## **11. DOCTORAL ADVISORY COMMITTEE**

- a) Doctoral Advisory Committee will be constituted for every candidate immediately after provisional registration.
- b) The Doctoral Advisory Committee will have 3 members including guide.

- c) The guide will be the Convener of the Doctoral Advisory Committee. Chairman or any member of the PG Board of Studies (recognized PhD guide) of the respective discipline will be the convener for part time independent research scholar.
- d) At least one member will be an external faculty and who is an expert in the field of proposed research.
- e) The Doctoral Advisory Committee will have to be approved by the Vice Chancellor.

## 12. METHODOLOGY EXAMINATION

- a. The University shall conduct the Methodology Examination common to all the provisionally registered candidates, faculty wise twice in a year.
- b. Candidate should appear for the Methodology Examination on or before the end of one year from the date of provisional registration or as per the schedule provided by the Controller of Examinations.
- c. The Examination shall consist of,
  - a. Two written papers of three hours duration for 100 marks each (one paper on research methodology and statistics, another specific to the topic of research) and Viva Voce for 100 marks.
  - b. The questions shall cover the following;

Paper- I: Principles of Research Methodology (100 marks)

- |   |   |         |
|---|---|---------|
| <ul style="list-style-type: none"> <li>• General Research Methodology.</li> <li>• Biostatistical Methods in Research.</li> <li>• Ethical aspects of Research.</li> <li>• Animal Experimentation (where applicable).</li> <li>• Instrumentation (where applicable).</li> </ul> | } | 5 units |
|---|---|---------|

Paper -II: Selected Field / Subject of Research (100 marks) – 5 units

Part II-Viva Voce examination (100 marks): The Doctoral Advisory Committee will assess the candidate's knowledge in relation to the selected subject by him / her upto the time of methodology examination and also assess the aptitude and competence of the candidate to continue the research work. The convener will submit the report along with the statement of marks to the Controller of Examination.

## 13. SUCCESSFUL METHODOLOGY EXAMINATION

- a. The candidate has to secure a minimum of 50% of the marks in both the theory papers and in the viva voce examination and an average of 60%, to be declared successful in the Methodology Examination.



#### **14. UNSUCCESSFUL METHODOLOGY EXAMINATION**

- a. In case a candidate is not successful in the Methodology Examination in the attempt, the candidate can reappear in the subsequent examination within one year. If successful, the provisional registration shall be confirmed.
- b. A candidate who is unsuccessful even after reexamination will not be permitted to continue and his/her provisional registration will be cancelled.

#### **15. EXTENSION OF TIME FOR METHODOLOGY EXAMINATION**

- a. Extension of time will be considered only on very special circumstances, if adequately substantiated by the candidate in the application forwarded through the guide for consideration by the Vice Chancellor.
- b. If extension of time is granted, then penal fees as prescribed is to be paid.
- c. The maximum extension period permissible is three months from the stipulated due date fixed by the University in the first instance for the conduct of the examination.

#### **16. CONFIRMATION OF PROVISIONAL REGISTRATION**

- a. The provisional registration of a successful candidate will be confirmed on the receipt of the report of the Part I Methodology examination, duly approved by the Vice Chancellor.
- b. Intimation regarding confirmation of provisional registration will be communicated to the candidate through the guide with a copy to the co-guide, if any, on payment of the prescribed fee for confirmation of the Provisional Registration.

#### **17. RESEARCH WORK**

- a. Provisionally registered candidates should do original research work under the direct supervision / guidance of the guide and co-guide, if any.
- b. The candidate may also utilize the services of the members of the Doctoral Advisory Committee for the betterment of the research work.
- c. The Doctoral Advisory Committee will review once in six months the research done by the candidate to monitor and assess the quality of work.
- d. Each candidate will undergo courses of instructions (training classes/seminars/workshops, etc.) as may be prescribed by the guide / advisory committee.

#### **18. LOG BOOK**

- a. Every registered candidate should maintain a log book.
- b. The log book has to be supervised and signed by the guide / co-guide, if any on a regular basis.
- c. The log book should be available at the time of Part I Methodology Examination and at all other times as deemed by the guide / Doctoral Advisory Committee.
- d. The log book will be maintained till the completion of the research work.

## **19. FEE STRUCTURE**

As prescribed by the University from time to time.

## **20. SUBMISSION OF SYNOPSIS**

- a. The synopsis should be scrutinized by the Doctoral Advisory Committee before submission.
- b. Synopsis should be submitted through the guide on the prescribed date mentioned in the provisional registration conformation letter. The title of the thesis mentioned in the synopsis is the final title and the same title has to be maintained in the thesis.
- c. Six copies of the synopsis, along with a soft copy in CD, has to be submitted with the prescribed fees, necessary documents, application form (FORM III) duly filled and signed by the candidate, guide and routed through head of the Institution.
- d. The synopsis of the thesis should include brief introduction, aims, objectives, material & methods, results and discussion, summary & conclusions and bibliography with a minimum of 20 pages and not exceeding 40 pages.

## **21. FORMATTING OF THESIS**

The thesis to be submitted by the candidate should be formatted as follows:

- a. Every thesis shall have following components;
  - a. Title page (Annexure IV)
  - b. Certificate component  
Certification and declaration by the candidate. (Annexure V)  
Certification of the research work by the guide. (Annexure VI)  
Certification of the research work by the co-guide, if any. (Annexure VII)
  - c. Acknowledgment
  - d. The Research work component
    - i. Introduction
    - ii. Aims and objectives
    - iii. Review of literature:
    - iv. Methodology
    - v. Results and discussion
    - vi. Summary and conclusions
    - vii. Recommendations, if any
    - viii. Appendix
    - ix. Bibliography
- b. The number of pages of the text matter in the thesis should not exceed 250 pages.
- c. Annexures, Charts, Graphs, Bibliography and Attached publications are not to be numbered along with the text.

## 22. SUBMISSION OF THESIS

- a. Thesis has to be submitted not earlier than three months and not exceeding six months after the submission of synopsis. Every candidate should submit six hard copies of the thesis, with two soft copies and prescribed thesis submission application (FORM IV)
- b. Every candidate should submit 2 copies of the electronic version of the thesis.
- c. The student should publish at least one paper in peer-reviewed journal with impact factor and one presentation at international conference with proceedings. The language of submission of synopsis and thesis is in English except languages.

## 23. EXTENSION OF TIME FOR SUBMISSION OF SYNOPSIS / THESIS

- a. Extension of time beyond the prescribed period shall be considered and granted for genuine reasons, if adequately substantiated.
- b. Applications for extension of time with adequate justifications should be signed and forwarded by the guide and submitted to the university before the expiry of the period prescribed for the submission of the synopsis.
- c. Extension of time shall be permitted for a minimum period of 6 months to 1 year.
- d. The registration of a candidate, who is not able to submit his/her thesis on or after prescribed time with extension, may be permitted for re-registration.

## 24. Ph.D., EXAMINERS

- a. A panel of external examiners will be recommended by the guide/ chairman or any member of the PG Board of Studies (recognized PhD guide) for independent candidates at the time of synopsis submission in a confidential cover addressed to the Controller of Examinations by name in prescribed form. (Appendix I)
- b. Composition of panel:

Region	Number of examiners	Eligibility
Outside India	Minimum of 3 and Maximum of 5	Not below the rank of Assistant Professor with 4 years of teaching and research experience
North/East/West India	Minimum of 3 and Maximum of 5	Not below the rank of Assistant Professor with 4 years of teaching and research experience
South India (500 km radius)	Minimum of 3 and Maximum of 5	Not below the rank of Assistant Professor with 4 years of teaching and research experience

- c. If the panel is inadequate a fresh panel of examiners shall be suggested by the guide/supervisor.

## **25. VALUATION OF THESIS**

- a. The Vice Chancellor will nominate in order of preference from each category three members as Board of Examiners.(One from outside India, one from North/East/West India and one from South India (500 km radius)) to evaluate the thesis. (Refer 24, a) in order
- b. The Examiners should submit the evaluation report in FORM V within three months from the date of receipt of the thesis. If not, a reminder may be sent by the Controller of Examination.
- c. If the report is not received by the Controller of Examination from an examiner in the prescribed time, the thesis may be referred to next examiner same category (Refer 25.a).
- d. If two of the examiners commend the thesis (one must be outside India) and the third examiner does not commend, the doctoral committee should substantiate and submit a report to Controller of Examination and which may be approved by the Vice Chancellor and then the candidate is eligible to appear viva voce examination. Or, the Vice Chancellor may refer the thesis to the fourth examiner. If any of the examiners point out clarifications and suggest resubmission with corrections, the candidate has to carry out the suggested corrections in consultation with the guide and resubmit the thesis within three months for evaluation by the same examiner who had initially suggested the above.
- e. The examiners will send their evaluation report to the Controller of Examinations by name. The Controller of Examination should submit the evaluated reports to the guide for a consolidated report which shall include the salient features of the thesis made by the individual examiners and suggestions.
- f. If the examiner pointed out corrections vide (From 25, d and e) the same may be carried out by the candidate after collecting thesis from the Controller of Examination and the same may be submitted with a certificate from the guide. (Appendix II).

## **26. REJECTED THESIS**

- a. If the thesis is not commended by the at least two examiners after the processes mentioned in 25 (f) then it is considered that the thesis is deemed as rejected.
- b. The candidate can resubmit the thesis for the second time considering the suggestions of the examiners/additional research work within six months to a maximum of one year.
- c. The resubmission of the thesis with the prescribed fee to the Controller of Examination office and the guide should submit a fresh panel of examiners.

## **27. PUBLIC VIVA VOCE EXAMINATION**

- a. A candidate whose thesis is commended by the Board of Examiners (vide Refer 25. F.) should appear for a Public Viva Voce Examination.
- b. The Public Viva Voce examination will be conducted by a panel of examiners consisting of the guide who will be the convenor and one external examiner (South

- India (500 km radius)) who has already evaluated the thesis or if not available then, a fresh panel submitted by the guide for conducting viva voce only. If for any reason the guide is unable to conduct the public viva voce examination, the Vice Chancellor will appoint a suitable examiner from the Doctoral Committee.
- c. The Public Viva Voce Examination is open to all and should be conducted in the same institution normally.
  - d. If for any reason, the guide is unable to conduct the viva voce examination even two months after approval of the consolidated report on the PhD thesis by the University and after the appointment of the viva voce examiner, the Vice Chancellor will make alternate arrangements for the same.
  - e. All clarifications / questions / suggestions made by the examiners who have evaluated the thesis will be addressed by the panel of examiners to the candidate to answer and defend his / her thesis.
  - f. The convenor along with the external examination should submit a report to the Controller of Examination with their comments (Form VI) along with a list of participants (at least 20) in the public viva voce examination with their designation and signature (Appendix III).
  - g. A candidate who is not successful in the Public Viva Voce Examination shall be permitted to reappear for a similar examination for a second time within a period of 3 months.
  - h. A candidate will not ordinarily be permitted to take the Public Viva Voce Examination, on more than two occasions.

## **28. DECLARATION AND FORMAT OF THE DEGREE**

- a. Based on the viva voce report, the Controller of Examinations will declare the result after approval of the Vice Chancellor through the guide to the candidate.
- b. The PhD degree certificate shall incorporate the title of the thesis along with the name (s) of the faculty/faculties and discipline(s) (Annexure III).

In the case of award of PhD degree for interdisciplinary research, the degree certificate shall bear both the subjects of the candidate's who post-graduate degree and the discipline of the department in which the candidate has conducted doctoral research mentioning them as "Interdisciplinary".

Along with the degree, the University shall issue a provisional certificate as prescribed in 28. b.

## **29. PUBLICATION OF THESIS**

A thesis, whether approved or not, shall not be published in full without the permission of Board of Management and Board of Management may grant permission for the publication under such conditions as it may impose;

Provided that the candidate may during the course of his/her research, publish papers in standard and research journals, as advised by his/her supervisor, but the thesis as a whole

shall not be published without obtaining permission of the Board of Management mentioned supra.

Permission for publication of the thesis should be obtained after award of the degree.

However, the following the successful completion of the evaluation and announcement of the award of PhD degree, the University shall submit a soft copy of the PhD thesis to the UGC within a period of thirty days for hosting the same in INFLIBNET, accessible to all Institutions.

### **30. DISCREPANCIES, CONFLICT OF INTEREST AND DISCIPLINARY ACTIONS**

The University, with the approval of the Board of Management Council will consider individual cases with special reference to discrepancies of any nature, conflict of interest and to initiate appropriate administrative actions against the candidate, guide and the co-guide.

### **31. TRANSITORY PROVISION**

These Revised regulations shall come into effect from 1st July 2014. However for those who are to submit the thesis before the month of December 2014 the existing Regulations shall prevail upon.

## **Instructions to the candidates**

1. Read the Ph.D., Regulations of this university before filling the applications form.
2. All entries in the provisional registration application must be written neatly and legibly by the candidate in his / her own handwriting in blue / black ink or neatly typed
3. Only one application should be submitted by each candidate
4. All the particulars required in the provisional registration application form should be furnished without any omission.
5. Incomplete filled applications will be deemed as rejected application
6. Candidates are specifically requested to note that error, overwriting and corrections, if any in the certificates are duly attested by the authorities concerned before submitting them.
7. The prescribed fees should be paid in the form of demand draft drawn in favour of “Fee Account, JSS University” payable at “Mysore”.
8. As prescribed by the University from time to time.

# JSS UNIVERSITY

## Mysore 570015

### APPLICATION FOR ADMISSION TO PHD DEGREE PROGRAM

(FORM – I)

Full Time/	Internal	External
Part Time		

Affix self-attested passport size photo

Session	January	April	July	October
Year	2014	2015	2016	2017

*\* Please put ✓ in appropriate box*

*e box*

#### A. Personal Details:

1	Name of the Applicant (as entered in the Degree certificate) in (CAPITAL LETTERS)	
2	Name of the Father/Guardian:	
3	Name of the Mother	
4	Sex	Male/Female



5	Date of Birth	Age:
6	Community	GT/BC/MBC/SC/ST/Others
7	Nationality	Indian/Foreign
8	Religion	Hindu/Muslim/Christian/Others
9	Address for Communication	<p>Phone:</p> <p>Mobile:</p> <p>Email:</p>

**B. Academic Details:**

Academic Qualification	Name of School/College/Institution/University	Board/University	Month and Year of Passing	Subject	% of Marks	Class/Rank
10 <sup>th</sup> Std.						
PUC/+2						
Bachelor's Degree						
Master's Degree						

M.Phil						
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C. Teaching/Professional Experience Details:

S l. N o. .	Designa tion	Institu tion	Duration		Date of approval of Qualification/appoi ntment by the University, in case of teachers
			Fr o m	T o	
1					
2					
3					
4					

D. Research Experience Details:

S l. N o.	Designation  JRF/SRF/URF/TRF/ Others	Fundi ng Agen cy	Duration		Them e of Resea rch
			Fr om	T o	
i					
ii					
iii					
iv					

10	Whether the applicant has published articles/research papers/ books? If so, enclose Xerox copies of them with the application.	
11	Awards, Medals, Prizes and Honours achieved by the applicant.	
12	Any other particulars the applicant would like to present for the consideration of the authorities with regard to admission.	
13	Whether the applicant has previously registered for Ph.D./ M.Phil. course etc., If so, give details.	
14	Broad field or area of research / Title of the proposed Research Topic  (In block letters)	
15	Declaration by Full-Time Non-Stipendiary applicants:  <b>I declare that I am not working anywhere either on a Full-time or Part-time basis.</b>	Signature:
16	Declaration by all applicants:  <b>I declare that I will abide by the rules and regulations of Ph.D</b>	Signature :  Place :  Date :

E. Details of the Supervisor (to be filled by the allotted supervisor):

17	<p>i) Name and Designation of the supervisor</p> <p>ii) Faculty</p> <p>iii) Department</p>	<p>Residential Address for Communication of the supervisor:</p> <p>Phone:</p> <p>e mail:</p> <p>Mobile:</p>
18	<p>Whether the Supervisor is recognized : If so, state</p> <p>the No. and date of this office communication</p> <p>through which he / she is recognized as a Supervisor</p> <p>for Ph.D. Programme. (Xerox copy of the communication should be enclosed.</p>	
19	<p>Date of Retirement of the Supervisor</p> <p>(Month and Year)</p>	
20	<p>Whether the candidate is related to the supervisor, if so, furnish the nature of relationship.</p>	
21	<p>Supervisor's comments about the suitability of the applicant for research</p>	

22. Details of Ph.D. candidates's already registered or submitted thesis under the supervisor at present:

Sl. No.	Name of Candidate	Stipendiary/Non-stipendiary	Full-time/Part-time	Date of Registration/submission of thesis
i				
ii				
iii				
iv				
v				
vi				
vii				
viii				

NOTE: Candidates who have been permitted recently to register for Ph.D. Degree should also be included.

Signature :

Seal of the Supervisor

Signature of Co-supervisor:  
(if applicable)

23	Signature of the Principal / HOD (for University Departments only)	
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24	Signature of the Head of Institution where the candidate is employed for permission to do research with signature and seal.	
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**Checklist for admission application:**

Attested photo copies of:

1. Birth Certificate / S.S.L.C. Certificate
2. Community Certificate
3. X std., HSC/UG/PG/M.Phil. Mark Statements and Convocation Certificates
4. Approval of qualification / appointment of teachers by the University of Madras.
5. Certificates of Research Experience

Part-Time candidates should enclose the following certificates in original along with application.

- a) A Service Certificate and No Objection Certificate from the Head of the Institution where the candidate is employed indicating the date of appointment ( i.e., whether permanent or temporary or leave vacancy, contract etc.) should be furnished if there is any break in service ( if it is not a continuous service), the details should be furnished.
- b) A certificate from the Director of Technical Education / District Educational Officer stating that the polytechnics / Higher Secondary / High School where the teacher is employed has been recognized by the government.
- c) Once service certificate submitted along with application, later it cannot be changed or withdrawn.

**(d) IF THE ABOVE REQUIRED DOCUMENTS ARE NOT SUBMITTED ALONG WITH THE APPLICATION FOR PH.D ADMISSION, THE SAME WILL BE SUMMARILY REJECTED.**

## **INSTRUCTIONS**

I. Serial Nos 1-16 should be filled by the applicant. Serial Nos.17-22 should be filled by the Supervisor.

Serial No.23 should be filled by the Head of the Research department/ Principal/ Director.  
Serial No.24 should be filled by the employer.

II. The filled in applications should be sent to the concerned Heads of the Departments of the University /Principals of the Colleges / Directors of recognized Research Institutions on or before 1st January,1st April, 1st July and 1st October of every year.

**JSS UNIVERSITY**  
**Mysore 570015**  
**PHD DEGREE REGISTRATION APPLICATION**

(FORM – II)

Full Time/ Part Time	Internal	External
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To be filled by the candidate:

For D. D. Section Use:

Amount in Rs.		
Date of Payment		
Demand Draft No.		
Name of the Bank/Branch		

1	Name of the Applicant (as entered in the Degree certificate) in (CAPITAL LETTERS)	
2	Name of Father	
3	Name of the Mother	



4	Sex	Male/Female		
5	Date of Birth	Age:		
6	Community	GT/BC/MBC/SC/ST/Others		
7	Nationality	Indian/Foreign		
8	Religion	Hindu/Muslim/Christian/Others		
9	Address for Communication	<p>Phone:</p> <p>Mobile:</p> <p>Email:</p>		
10	If Part-time, furnish Designation with Officeaddress of the College/Office wherein applicant is working			
11	Qualification particulars	PG	M.Phil	MD/MS
	Branch			
	Register No.			

	Month and Year of Passing			
	College or University			
12	Whether the candidate is undergoing any othercourse in the University or in any otherUniversity ?			
13	Address of the Department of the University where the applicant proposesto conduct Research.			
14	Whether the applicant has obtained Permission todo Research?			
15	Whether the candidate has obtained anysponsorship from funding agencies?			
16	Date of joining the Research Department /College / Institution			

17	Topic of the research (Broad field)			
18	Signature of the Applicant			
19	<p>a. Name and Designation of the Supervisor</p> <p>b. Number and date of communication in which Supervisor has been recognized as Ph.D. Supervisor.</p> <p>c. Date of Superannuation of the Guide</p>			
20	Signature of the Supervisor with seal			
21	Scholars currently doing research under the supervisor:			
Sl. No.	Name of the Scholar	Month & Year of Registration	Full-time/Part-time	Fellowship if any

22	Signature of the Co- Supervisor  [Inter disciplinary] with address seal			
23	Signature of the Head of the Department where Research will be  carried out with seal			
24	Signature of the Principal with seal			

25	<p>If the applicant is working,</p> <p>Signature of the Head of the Institution with seal</p>	
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Preserve Xerox copies of all fees payments regarding Ph.D.

ENCLOSURES THAT BE MUST ATTACHED:

- a. Demand Draft for prescribed fee in favour of 'The Registrar, JSS University'
- b. P.G./ M.Phil. Degree Certificates in original.
- c. Transfer Certificate in Original (latest) or course completed certificate or Migration Certificate
- d. Copy of the Permission Letter granted by the University to do Ph.D. Research
- e. Return of Matricula form and Recognition form, in case of candidates who have qualified from other Universities.
- f. Joining Report duly forwarded by Supervisor/Principal/HOD/Director after the date of granting permission by the University.
- g. If part time, NOC from the Employer.

**JSS UNIVERSITY**  
**Mysore 570015**  
**PhD SYNOPSIS SUBMISSION APPLICATION FORM**

**(FORM – III)**

*Note: Candidates should submit the duly filled Synopsis Application Form, Six hard copies and a soft copy of the Synopsis on or before the last working day of the Registration Sessions as given in Ph.D., Regulations.*

1	Name of the Candidate		
2	Date of Birth	Age:	
3	Place of Birth		
4	Name and Occupation of father / guardian		
5	Nationality	Indian/Foreign	
6	Religion	Hindu/Muslim/Christian/Others	
7	Address for communication	Department        Phone:  Email	Permanent        Phone:  Email:
8	Details of Qualifying Examination		

	<p>a. Name of the University/College</p> <p>b. Registration No.</p> <p>c. Year of Passing</p>	
9	Date, Month & Year of the Convocation at which the qualifying Degree was taken	
10	<p>If the Examination passed is from other than JSS University, state the number and date of the communication recognising the degree</p> <p>(Enclose certified Xerox Copies)</p>	
11	<p>The month and year in which the candidate was provisionally registered</p> <p>(Enclose certified Xerox Copies of confirmation of Provisional Registration)</p>	
12	Name of the guide	
13	Name of the University Department/Constituent College where the research work was done. Mention may be made about the additional places of the research work, if any	
14	Title of the Thesis in Block Letters	

15	Signature of the Candidate	
16	Signature of the guide with Designation	
17	Signature of the Head of the Department/Dean where the candidate conducted the research work	

Signature of the Principal/Dean  
of the Institution where the candidate is working

Station:

Date:

Details of Remittance	
a) Name of the Bank / Branch	
b) Amount Remitted	
c) Demand Draft / Chelan No.	
d) Date of issue /	



remittance	
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### **Instructions to the candidates**

1. The synopsis submission application form (FORM III) should be completely filled and submitted through the proper channel
2. Six copies of the synopsis should be submitted with one soft copy in a CD in word format.
3. The qualifying postgraduate degree certificate should be submitted in original( attested / photostat copies will not be accepted)
4. The communication (in original) confirming the provisional registration for the Ph.D., degree.
5. The prescribed fee in the form of a demand draft drawn in favor of “JSS University Examination Fee Account” and payable at Mysore should be enclosed.

**JSS UNIVERSITY**  
**Mysore 570015**  
**PhD THESIS SUBMISSION APPLICATION FORM**

**(FORM – IV)**

1	Name of the Candidate		
2	Date of Birth	Age:	
3	Place of Birth		
4	Name and Occupation of father / guardian		
5	Nationality	Indian/Foreign	
6	Religion	Hindu/Muslim/Christian/Others	
7	Address for communication	Department       Phone:  Email	Permanent       Phone:  Email:
8	Details of Qualifying Examination  d. Name of the University/College e. Registration No. f. Year of Passing		

9	Date, Month & Year of the Convocation at which the qualifying Degree was taken	
10	If the Examination passed is from other than JSS University, state the number and date of the communication recognising the degree  (Enclose certified Xerox Copies)	
11	The month and year in which the candidate was provisionally registered  (Enclose certified Xerox Copies of confirmation of Provisional Registration)	
12	Name of the guide	
13	Name of the University Department/Constituent College where the research work was done. Mention may be made about the additional places of the research work, if any	
14	Title of the Thesis in Block Letters  (As in Synopsis)	

15	Whether the applicant submitted the Thesis previously for the Degree	If yes, provide details or NA		
16	If the Thesis is re-submitted, please mention the reasons for re-submission	<table border="1"> <tr> <td>Corrections carried out and resubmitted</td> <td>Rejected in the first instance and resubmitted with additional work</td> </tr> </table>	Corrections carried out and resubmitted	Rejected in the first instance and resubmitted with additional work
Corrections carried out and resubmitted	Rejected in the first instance and resubmitted with additional work			
15	Signature of the Candidate			
16	Signature of the guide with Designation			
17	Signature of the Head of the Department/Dean where the candidate conducted the research work			

Signature of the Principal/Dean  
of the Institution where the candidate is working

Station:

Date:

Details of Remittance	
a) Name of the Bank / Branch	
b) Amount Remitted	
c) Demand Draft / Chelan No.	
d) Date of issue / remittance	

### **Instructions to the candidates**

6. The synopsis submission application form (FORM IV) should be completely filled and submitted through the proper channel
7. Six copies of the thesis should be submitted with one soft copy in a CD in word format.
8. The qualifying postgraduate degree certificate should be submitted in original( attested / photostat copies will not be accepted)
9. The communication (in original) confirming the provisional registration for the Ph.D., degree.
10. The prescribed fee in the form of a demand draft drawn in favor of “JSS University Examination Fee Account” and payable at Mysore should be enclosed.

**JSS UNIVERSITY, MYSORE**  
**Ph.D., REGULATIONS**  
**FORM V**  
**Ph.D., EVALUATION REPORT**








Note:

- a. To be filled and returned to the University with in 3 months from the date of receipt of the Communication.
- b. Additional papers may be used, if need be

<b>A.</b>	<b>DETAILS OF THE CANDIATE</b>	
	i. NAME OF THE CANDIDATE:	
	ii. UNIVERSITY REFERENCE NO. :	
	iii. TITLE OF THESIS:	
<b>B.</b>	<b>RECOMMENDATIONS:</b>	
	i. Highly Commended	
	ii. Commended	
	iii. Not Commended	
	iv. Revise and Resubmit	
	v. Minor correction to be carried out and need not be resubmitted	

A. DETAILED COMMENTS, IF ANY: (USE ADDITIONAL SHEETS IF NECESSARY)

B. QUESTIONS TO BE ASKED IN THE VIVA-VOCE: (USE ADDITIONAL SHEETS IF NECESSARY)

-  NAME OF THE EXAMINER:
-  DESIGNATION:
-  ADDRESS:
-  STATE, COUNTRY, POSTAL PIN CODE:
-  SIGNATURE:
-  DATE:
-  OFFICE SEAL:

**Form VI**

**Ph.D Public Viva Voce Exam Report**

**APPENDIX I**  
**JSS UNIVERSITY**  
Mysore – 570015

**Ph.D Program**

**Composition of the Panel of Examiners**

<b>OUTSIDE INDIA</b>	
<b>NORTH/EAST/WEST INDIA</b>	
<b>SOUTH INDIA (500KMS)</b>	

<b>S.No</b>	<b>Name of the Examiner</b>	<b>Designation with Experience</b>	<b>Address for Communication  With Email and Phone</b>	<b>Remarks</b>



## APPENDIX II

JSS UNIVERSITY, MYSORE  
Ph.D., REGULATIONS

Thesis Correction Statement

Certificate by the Guide for Corrections in the Thesis

NAME OF THE CANDIDATE :

REGISTER NO. :

TITLE OF THESIS :

---

This is to certify that the candidate has carried out the necessary correction as suggested by the Examiners.

**Signature of the Guide**

## APPENDIX III

### Attendance List of Participants with signature

Sl.No	Name and Designation	Address	Signature

# JSS UNIVERSITY

Mysore 570015

## Annexure I

### APPLICATION FOR RECOGNITION AS GUIDE/ CO-GUIDE FOR Ph.D., RESEARCH PROGRAMME

*Note : Separate / Additional Papers may be added accordingly.*

1	Name of the Applicant		
2	Date of Birth	Age:	
3	Qualifications with year of passing		
4	Field of specialization		
5	Present designation		
6	Date of joining the service		
7	Date of superannuation		
8	Address for Communication	Official Department Address  Phone:	Residential Address

		Email:	Phone: Email:
9	Date of award of the Ph.D., degree and the awarding University		
10	Title of thesis		
11	Is the applicant already guide of any university	If yes, attach copy of order of recognition	
12	Teaching posts held till date		
13	Teaching experience (in years)	Total number of years	
	Under Graduate		
	Post Graduate		
14	Academic achievements (Prizes & Medals etc.,)		
15	Research Publications	Total number of publications:	
	i. accredited / index journals		
	ii. before qualifying for Ph.D.		
	iii. after Ph.D., qualification		
16	Papers & posters /presentation at national/international conferences		

17	Author of textbooks / chapters in textbooks	
18	Membership of scientific bodies	
	i.National	
	ii.International	
19	Fellowships	
	i. National	
	ii. International	
20	Research Experience	
	i.M. Phil	
	ii.Postdoctoral	
21	Whether research conducted in interdisciplinary area if so, what are the fields involved	
22	Signature of the candidate	
23	Signature of the Head of the Institution	

**Enclosures to be submitted along with the application**

1. Service particulars
2. Copies of academic qualifications
3. Teaching appointment particulars
4. Guide recognition of other Universities
5. Publications
6. Presentations
7. Membership
8. Fellowship
9. Research programme details
10. Prizes / citations

### ***RECOGNITION OF A GUIDE***

1. A qualified faculty member working in an academic department / constituent institution of this University is eligible to be recognized as a guide for the Ph.D., programme of this University.
2. Willing / Interested Faculty Members shall apply for recognition by duly submitting the Ph.D., guide recognition application form (Annexure I) as mentioned in the Ph.D., Regulations.
3. A person shall be recognized as a guide in a faculty, if he/she possesses anyone of the following;
  - i) Not less than 15 years of teaching and research experience after obtaining his / her postgraduate qualification and shall also have not less than 10 years postgraduate teaching experience as a faculty of Medicine/Dentistry.
  - ii) A Ph.D., degree and not less than 3 years postdoctoral teaching/research experience and with at least three research publications in indexed journal as the principal /corresponding author for all other faculties.

## **RECOGNITION OF A CO-GUIDE**

1. A Faculty member working in a recognized postgraduate department/constituent Institutions of this University is eligible to be recognized as a co-guide for the Ph.D., research programme.
2. The applicant for registration as a co-guide shall apply to the University by duly filling the Annexure I of the Ph.D Regulations and submitting all the required enclosures through proper channel.
3. A person shall be recognized as a co-guide in any faculty, if he/she possess a postgraduate degree with atleast 5 years of P.G. teaching/research experience in the University departments (or) in an approved affiliated postgraduate teaching institution or in recognized research institution of the University with at least 2 (Two) research publications as the principal author or co-author to his/her credit in any accredited / indexed journal.
4. The approved co-guide shall submit his/her consent letter while accepting the request of the candidate to officiate as co-guide for his/her research leading to the award of Ph.D., Degree.
5. A co-guide is eligible to have a maximum of 6 candidates.
6. The duties of co-guide are mentioned in the Ph.D., Regulations.
7. The Faculty Member registered and recognized as a co-guide by this University is eligible to become a guide after satisfying all the rules and regulations of the University.



# JSS UNIVERSITY

## Mysore 570015

### Annexure – II

The list of the recognized branches/departments/institutions of this University for Ph.D., research work is given in the table below.

1. JSS Medical College, Mysore
  - a. Anatomy
  - b. Physiology
  - c. Biochemistry
  - d. Pharmacology
  - e. Pathology
  - f. Microbiology
  - g. Community Medicine
  - h. Forensic Medicine
  - i. General Medicine
  - j. General Surgery
  - k. OBG
  - l. Paediatrics
  - m. Orthopaedics
  - n. ENT
  - o. Anaesthesia
  - p. Ophthalmology
  - q. Dermatology, Venerology Leprosy
  - r. Psychiatry
  
2. JSS Dental College, Mysore
  - a. Oral Medicine & Radiology
  - b. Paediatric & Preventive Dentistry
  - c. Oral & Maxillofacial Surgery
  - d. Conservative & Endodontics
  - e. Periodontology
  - f. Public Health Dentistry
  - g. Prosthodontics and Crown & Bridge
  - h. Orthodontics & Dentofacial Orthopaedics
  
3. JSS College of Pharmacy, Mysore
  - a. Industrial Pharmacy/Pharmaceutics
  - b. Pharmacy Practice

- c. Pharmacology
  - d. Pharmaceutical Chemistry
4. JSS College of Pharmacy, Ooty
- a. Pharmaceutics
  - b. Pharmaceutical Chemistry
  - c. Pharmacognosy
  - d. Pharmacology
  - e. Pharmaceutical Analysis
  - f. Pharmaceutical Biotechnology
  - g. Pharmacy Practice
  - h. Phytopharmacy&Phytomedicine
5. Faculty of Life Sciences

**RECOGNITION OF NEW DEPARTMENTS / CONSTITUENT INSTITUTION  
OFFERING MEDICAL COUNCIL OF INDIA/DENTAL COUNCIL OF INDIA  
/PHARMACY COUNCIL OF INDIA AND OTHER CENTRAL COUNCILS, OFFERING  
RECOGNISED POSTGRADUATE COURSES IN AFFILIATED INSTITUTIONS OF  
THIS UNIVERSITY**

- a. Departments / Constituent Institutions seeking approval / recognition to research programme shall apply to this University
- b. They shall submit the duly filled form along with supporting documentary evidences showing the possession of required facilities for the conduct of Ph.D., research programme in their departments.
- c. The Medical/Dental/Pharmacy/ other departments seeking the recognition of the departments shall have the facilities according to the needs of the research
- d. The application of the Department/ Institution, if found satisfactory, shall be placed before the Board of Studies in Research for its scrutiny for placing its recommendations to the Academic Council for approval.
- e. The Department recognized for doing Ph.D., shall be reviewed once in 5 years
- f. There should be an Ethical Committee/ Animal Ethical Committee in every Institution where the Research work is proposed to be done. The Ethical Committee should give the clearance/approval and certify the same in the Provisional Registration form.
- g. The Ethical Committee should be constituted as per the guidelines given in the Ph.D., regulations.

**Annexure III**



**JSS UNIVERSITY**

Faculty of -----

The Board of Management of JSS University hereby makes known that -----  
(Name of the Candidate) -----hasbeen admitted to the degree of Doctor of  
Philosophy, he/she having been certified by dulyappointed examiners to be qualified to receive  
the same year -----for the thesientitled -----(Title of the Thesis)-----  
(Name of the Discipline)

Given under the seal of the JSS University ----- day  
of -----

Registrar

Vice-Chancellor



**JSS UNIVERSITY**

Faculty of -----

The Board of Management of JSS University hereby makes known that -----  
(Name of the Candidate) -----hasbeen admitted to the degree of Doctor of  
Philosophy, he/she having been certified by duly appointed examiners to be qualified to receive  
the same year -----for the thesientitled -----(Title of the Thesis)-----  
(Name of the Discipline) (Inter disciplinary)

Given under the seal of the JSS University ----- day  
of -----

Registrar

Vice-Chancellor

**Annexure IV  
Model for Cover and Title of the Ph.D. Thesis**

**TITLE OF THE THESIS**

**Thesis submitted in  
Partial Fulfillment of the  
Degree of Doctor of Philosophy (Ph.D.)**

**By**

---

**(Name of the Candidate)**

---

**(Name of the Department)**

---

**(Name of the Institution)**

---

**(Name of the Place)**

---

**(Month and Year)**

**Annexure V**  
**DECLARATION**

I declare that the thesis entitled \_\_\_\_\_

\_\_\_\_\_ submitted by me for the degree of Doctor of Philosophy (Ph.D.) is the record of work carried out by me during the period from \_\_\_\_\_ to \_\_\_\_\_ under the guidance of \_\_\_\_\_ and has not formed the basis for the award of any Degree, Diploma, Associateship, Fellowship, Titles in this University or any other University or other similar institution of Higher Learning.

**Signature of the Candidate**

## Annexure VI

### CERTIFICATE FROM THE GUIDE

I certify that the thesis entitled \_\_\_\_\_ submitted for the degree of Doctor of Philosophy (Ph.D.) by Mr./Ms. \_\_\_\_\_ is the record of research work carried out by him/her during the period from \_\_\_\_\_ to \_\_\_\_\_ under my guidance and supervision, and that this work has not formed the basis for the award of any Degree, Diploma, Associateship, Fellowship or other Titles in this University or any other University or institution of Higher Learning.

**Signature of the Guide  
with designation**

## Annexure VII

### CERTIFICATE FROM THE CO-GUIDE

I certify that the thesis entitled \_\_\_\_\_ submitted for the degree of Doctor of Philosophy (Ph.D.) by Mr./Ms. \_\_\_\_\_ is the record of research work carried out by him/her during the period from \_\_\_\_\_ to \_\_\_\_\_ under my co-guidance and supervision, and that this work has not formed the basis for the award of any Degree, Diploma, Associateship, Fellowship or other Titles in this University or any other University or institution of Higher Learning.

**Signature of the Co-Guide  
with designation**

\*\*\*\*\*